

# An effective hybrid search algorithm for the multiple traveling repairman problem with profits

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## Abstract

The multiple traveling repairman problem with profits consists of multiple repairmen serving a subset of all customers to maximize the revenues collected through the visited customers. To address this problem, an effective hybrid search algorithm based on the memetic framework is proposed. In the proposed method, three features are integrated: a dedicated arc-based crossover to generate high-quality offspring solutions, a fast evaluation technique to reduce the complexity of navigating classical neighborhoods as well as a correcting step to ensure accurate evaluation of neighboring solutions. The performance of the algorithm on 470 benchmark instances were compared with those of the leading reference algorithms. The results show that the proposed algorithm outperforms the state-of-the-art algorithms by setting new records for 137 instances and matching the best-known results for 330 instances. The importance of the key search components of the algorithm was investigated.

*Key words:* Combinatorial optimization; Multiple traveling repairman problem with profits; Arc-based crossover; Variable neighborhood search; Heuristics.

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## 1 Introduction

The traveling repairman problem with profits (TRPP) (Dewilde et al., 2013) is a general model that can be stated as follows. Let  $G(V, E)$  be a complete weighted graph, where  $V$  is the vertex set consisting of the depot 0 and the customer set  $V_c = \{1, 2, \dots, n\}$ , and  $E = \{(i, j) : i, j \in V\}$  is the edge set, where each edge  $(i, j)$  is associated with a symmetric weight  $d_{i,j} = d_{j,i}$  (traveling time). A repairman begins his trip from the depot to collect a time-dependent revenue  $p_i - l(i)$  by visiting each customer and stops his travels when there are no positive revenues. Here,  $p_i$  represents the profit, and  $l(i)$  is the waiting time for each customer  $i$  ( $l(0) = 0$ ). Each customer can be visited at most once. The objective of the TRPP is to determine an open Hamiltonian path such that the collected revenue  $\sum_{i=0}^m [p_i - l(i)]^+$  is maximized, where  $m$  is the number of visited customers, and  $[p_i - l(i)]^+$  is the larger value between  $p_i - l(i)$  and 0.

The multiple TRPP (MTRPP) generalizes the TRPP by considering multiple repairmen (servers or vehicles) to service customers. In the MTRPP, all the repairmen start their trips from the depot and collect a time-dependent revenue independently. Let  $K \geq 1$  be the number of repairmen, and a formal solution  $\varphi$  consists of  $K$  Hamiltonian paths (or routes)  $\{X_1, X_2, \dots, X_K\}$ , where each path  $X_k = (x_0^k, x_1^k, \dots, x_{m_k}^k)$  contains  $m_k$  customers ( $\bigcup_{k=1}^K X_k \subseteq V$  and  $X_i \cap X_j = \{0\}$ ,  $i \neq j$ ,  $\forall i, j \in \{1, 2, \dots, K\}$ ). The objective function can be defined as follows:

$$f(\varphi) = \sum_{k=1}^K \sum_{i=0}^{m_k} [p_{x_i^k} - l(x_i^k)]^+ \quad (1)$$

The aim of the MTRPP is then to find the solution  $\varphi^*$  with a maximal total collected revenue  $f(\varphi^*)$ .

If none of the collected revenue  $p_{x_i^k} - l(x_i^k)$  is negative, then Equation (1) can be rewritten as follows.

$$f(\varphi) = \sum_{k=1}^K \sum_{i=0}^{m_k} p_{x_i^k} - \sum_{k=1}^K \sum_{i=1}^{m_k} (m_k - i + 1) \cdot d_{x_{i-1}^k, x_i^k} \quad (2)$$

Equation (2) is useful for the fast evaluation of our search algorithm.

The MTRPP is typically applied in humanitarian and emergency relief logistics. For instance, for post-disaster relief operations,  $K$  homogeneous rescue teams start their trips from the base to deliver emergency supplies and save survivors of damaged villages or cities. Assume that  $p_i$  persons are to be rescued for a village  $i$  and one person is lost with each time step. The objective of the rescue teams is to save as many lives as possible. This application scenario was also mentioned for the TRPP (Dewilde et al., 2013) with a single

rescue team. The results revealed that the MTRPP is a convenient model for scenarios that require several rescue teams.

Existing studies on solving the MTRPP as well as some related problems are briefly reviewed as follows.

Two practical algorithms have been proposed for the MTRPP in the literature. In 2019, [Lu et al. \(2019a\)](#) proposed the first memetic algorithm (MA-MTRPP) to solve the MTRPP. This algorithm uses a randomized greedy construction phase, variable neighborhood search, route-based crossover operator for solution initialization, local optimization, and solution recombination, respectively. MA-MTRPP outperformed the general CPLEX solver on the 240 benchmark instances introduced in that study. In the same year, [Avci & Avci \(2019\)](#) developed a mixed-integer linear programming model and suggested an adaptive large neighborhood algorithm (ALNS) search approach (ALNS-MTRPP) for the MTRPP, which incorporates a couple of problem-specific destroy operators and two new randomized repair operators. The authors proposed another set of 230 benchmark instances and a greedy randomized adaptive search procedure with iterated local search (GRASP-ILS), which was used as a reference heuristic. According to the experimental results, ALNS-MTRPP outperformed GRASP-ILS for most instances.

The closely related TRPP is a special case of the MTRPP with a single repairman ( $K = 1$ ). Numerous heuristic algorithms were proposed to address the TRPP. In 2013, [Dewilde et al. \(2013\)](#) first proposed a tabu search algorithm incorporating multiple neighborhoods and a greedy initialization procedure. In 2017, [Avci & Avci \(2017\)](#) suggested a greedy randomized adaptive search procedure combined with iterated local search, which outperformed the previous algorithms by updating 46 best results. In 2019, [Lu et al. \(2019b\)](#) introduced a population-based hybrid evolutionary search algorithm that outperformed previous algorithms. In 2020, [Pei et al. \(2020\)](#) developed a general variable neighborhood search approach integrating auxiliary data structures to improve search efficiency. This algorithm dominated all the previous algorithms by updating 40 best-known results and matching the best-known results for the remaining instances. As presented in this study, these auxiliary data structures can be beneficially extended to the MTRPP to design fast evaluation techniques for the generalized problem.

The team orienteering problem (TOP) ([Chao et al., 1996](#)) is another related problem, which states that a fixed number of homogeneous vehicles visit a subset of customers to maximize the collected profits within a traveling distance constraint. Unlike the MTRPP, the profits of customers in the TOP are time independent, and distance constraints exist for the vehicles. Various solution methods, including local search algorithms ([Vansteenwegen et al., 2009](#); [Hammami et al., 2020](#); [Tsakirakis et al., 2019](#)), population-based algorithms

(Bouly et al., 2010; Zettam & Elbenani, 2016; Dang et al., 2013), and exact methods based on branch-and-price and the cutting plane technique (Boussier et al., 2007; Bianchessi et al., 2018; El-Hajj et al., 2016; Poggi et al., 2010), have been developed for the TOP. The cumulative capacitated vehicle routing problem is related to the MTRPP by considering capacity constraints for the  $K$  repairmen (or vehicles). Popular algorithms for this problem include evolutionary algorithm (Ngueveu et al., 2010), adaptive large neighborhood search heuristic (Ribeiro & Laporte, 2012), two-phase metaheuristic (Ke & Feng, 2013), iterated greedy algorithms (Nucamendi-Guillén et al., 2018), and branch-and-cut-and-price algorithm (Lysgaard & Wøhlk, 2014).

The MTRPP with multiple repairmen is a realistic model compared with the TRPP with a single repairman for real-life applications. However, contrary to the TRPP for which numerous solution methods exist, only two principal heuristics have been designed for the MTRPP. Thus, tools for addressing MTRPP should be enhanced. Moreover, the two existing algorithms for the MTRPP are sophisticated and involve many parameters (13 parameters for ALNS-MTRPP and 7 for MA-MTRPP). In addition, ALNS-MTRPP cannot satisfactorily handle large-scale instances (e.g., it requires 3 hours to solve 1000-customer instances).

In this study, we propose an easy-to-use (with only three parameters) and effective hybrid search algorithm based on the memetic framework to solve the MTRPP (named EHSA-MTRPP). We summarize the contributions as follows.

First, we propose an original arc-based crossover ( $ABX$ ), which is inspired by experimental observation and backbone-based heuristics (Zhang, 2004; Wang et al., 2013).  $ABX$  can be used to generate promising offspring solutions from high-quality parent solutions.

Second, to ensure a high computational effectiveness, we introduce an approximation method to reduce the complexities in examining the neighborhoods and prove that evaluating one neighboring solution in the underlying neighborhoods for the MTRPP can be performed in constant time. Moreover, in order to warrant an accurate evaluation, a correcting mechanism is executed during the search process.

Finally, we provide novel lower bounds for 137 instances out of the 470 benchmark instances in the literature. These bounds can be used for future studies on the MTRPP.

The rest of the paper is organized as follows. The next section is dedicated to the presentation of the proposed algorithm. In Section 3, we describe the experimental setup, parameter tuning, and computational results, followed by an investigation of the key components of the algorithm in Section 4. Conclusions

and perspectives are provided in the last section.

## 2 Method

### 2.1 Main scheme

The proposed hybrid search algorithm for the MTRPP is based on the framework of the memetic algorithm (Moscato, 1999) and relies on five search components, namely a population initialization procedure (*IniPool*), a variable neighborhood search procedure (*VNS*) to perform the local refinement, a perturbation procedure (*Spert*) to help escape from the local optimum, an arc-based crossover (*ABX*) to generate high-quality offspring solutions, and a pool updating procedure (*UpdatingPool*) to manage the population with newly obtained solutions.

Algorithm 1 presents the general scheme of the EHSA-MTRPP algorithm. First, the algorithm calls *IniPool* (See Section 2.2) to create the population  $P$ , where each solution  $\varphi_i$  is improved by *VNS* (See Section 2.3) and the best one is recorded in  $\varphi^*$  (lines 8–12). Next, the algorithm enters the main search procedure (lines 13–32). For the while loop, we set  $C$  to 0 (line 14), randomly select two solutions  $\varphi_a$  and  $\varphi_b$  from the population  $P$ , and generate an offspring solution  $\varphi$  (lines 15–16) with *ABX* (See Section 2.5). After recording  $\varphi$  by  $\varphi_{lb}$ , the algorithm enters the inner loop (lines 18–27) to investigate the new solutions by iterating the *VNS* procedure and the *Spert* procedure. For each inner loop, the current solution  $\varphi$  is first improved by *VNS* (line 19) and then used to update the local best solution  $\varphi_{lb}$ . If  $\varphi$  is superior to  $\varphi_{lb}$ ,  $\varphi_{lb}$  is updated, and the counter  $C$  is reset to 0 (lines 20–22). Otherwise,  $C$  is incremented by 1 (lines 23–25). Then, the perturbation procedure *Spert* is triggered to displace the search from the local optimum (line 26). The aforementioned procedures are repeated until  $C$  reaches the search limit *Limi* (line 27), which indicates that the search is exhausted (and trapped in a deep local optimal solution). After the inner loop, the local best solution  $\varphi_{lb}$  is used to upgrade the population (line 28) and to update the best solution  $\varphi^*$  (lines 29–31). When the cut-off time  $T_{max}$  is reached (line 13), the algorithm stops and returns the best recorded solution  $\varphi^*$  (line 33).

### 2.2 Initial population

The initial population is filled with two types of solutions: half of them are created with a randomized construction method, while the remaining solutions

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**Algorithm 1** General scheme of the EHSA-MTRPP algorithm

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```
1: Input: Input graph  $G(V, E)$ , population size  $Np$ , search limit  $Limi$ , objective function  $f$  and maximum allowed time  $T_{max}$ 
2: Output: Best found solution  $\varphi^*$ 
3: /* IniPool is used to generate the initial population. */
4: /* VNS is used to perform the local refinement. */
5: /* Spert is used to modify (slightly) the input local optimum. */
6: /* ABX is used to generate promising offspring solutions. */
7: /* UpdatingPool is used to update the population. */
8:  $P = \{\varphi_1, \dots, \varphi_p\} \leftarrow IniPool()$  // See Section 2.2
9: for  $i \leftarrow 1$  to  $Np$  do
10:    $\varphi_i \leftarrow VNS(\varphi_i)$  // See Section 2.3
11: end for
12:  $\varphi^* \leftarrow \arg \max\{f(\varphi_i), i = 1, \dots, Np\}$ 
13: while  $T_{max}$  is not reached do
14:    $C \leftarrow 0$ 
15:    $(\varphi_a, \varphi_b) \leftarrow RandomChoose(P)$ 
16:    $\varphi \leftarrow ABX(\varphi_a, \varphi_b)$  // See Section 2.5
17:    $\varphi_{lb} \leftarrow \varphi$ 
18:   repeat
19:      $\varphi \leftarrow VNS(\varphi)$ 
20:     if  $f(\varphi) > (\varphi_{lb})$  then
21:        $\varphi_{lb} \leftarrow \varphi$ 
22:        $C \leftarrow 0$ 
23:     else
24:        $C \leftarrow C + 1$ 
25:     end if
26:      $\varphi \leftarrow Spert(\varphi)$  // See Section 2.4
27:   until  $C \geq Limi$ 
28:   UpdatingPool $(\varphi_{lb}, P)$  // See Section 2.6
29:   if  $f(\varphi_{lb}) > (\varphi^*)$  then
30:      $\varphi^* \leftarrow \varphi_{lb}$ 
31:   end if
32: end while
33: return  $\varphi^*$ 
```

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are generated with a greedy construction method.

For the randomized construction method, we first create a giant tour with all the customers in a random order. Next, we separate the giant tour into  $K$  routes, where each route has the same number of customers. This method leads to a complete solution  $\varphi$ .

We also use the greedy construction method proposed by [Avci & Avci \(2019\)](#). Starting from an empty solution  $\varphi$  with  $K$  routes and a vertex list  $V_r = \{1, 2, \dots, n\}$ , the greedy construction method iteratively adds one vertex to the solution following a greedy randomized principle. At each step, we eval-

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**Algorithm 2** Local optimization with variable neighborhood search

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```
1: Input: Objective function  $f$  and current solution  $\varphi$ 
2: Output: Local best solution  $\varphi$ 
3: /*  $N_1, N_2, N_3, N_4$  represent Swap, Insert, 2-opt and Or-opt neighborhoods, respectively. */
4: /*  $N_5, N_6, N_7$  represent respectively Inter-Swap, Inter-Insert and Inter-2-opt neighborhoods. */
5: /*  $N_{Add}, N_{Drop}$  denote Add and Drop neighborhoods. */
6: repeat
7:    $\varphi' \leftarrow \varphi$ 
8:    $S_N \leftarrow \{N_1, N_2, N_3, N_4, N_5, N_6, N_7\}$ 
9:    $\varphi \leftarrow LocalSearch(\varphi, N_{Add})$ 
10:  while  $S_N \neq \emptyset$  do
11:    Randomly choose a neighborhood  $N \in S_N$ 
12:     $\varphi \leftarrow LocalSearch(\varphi, N)$ 
13:     $\varphi \leftarrow LocalSearch(\varphi, N_{Drop})$ 
14:     $S_N \leftarrow S_N \setminus \{N\}$ 
15:  end while
16: until  $f(\varphi') \geq f(\varphi)$ 
17: return  $\varphi$ 
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uate the objective variation of the solution  $\varphi$  for each operation  $Ope(v, k)$ , which represents adding  $v \in V_r$  to the route  $k$ . Next, we construct a candidate set  $OPE_c$  consisting of the  $q$  operations ( $q$  is set to three here) with the largest contributions to the objective value. Finally, a random operation  $Ope(v, k) \in OPE_c$  is performed to extend the partial solution, and the vertex  $v$  was removed from  $V_r$ . These steps are repeated until all the customers are added into the solution. For more details, please refer to [Avci & Avci \(2019\)](#).

### 2.3 Solution improvement by variable neighborhood search

For local optimization, we adopt the general variable neighborhood search (VNS) method ([Mladenović & Hansen, 1997](#)), which has proved to be successful for both the TRPP ([Pei et al., 2020](#); [Lu et al., 2019b](#); [Avci & Avci, 2017](#)) and the MTRPP ([Lu et al., 2019a](#)).

The proposed VNS procedure for the MTRPP is presented in Algorithm 2. In the outer loop (lines 6-16), we first initialize the recorded solution  $\varphi'$  with the current solution  $\varphi$  and the neighborhood set  $S_N$  with seven neighborhoods  $N_1$ - $N_7$  (lines 7-8). After a local search procedure based on  $N_{Add}$  with the current solution (line 9), the search enters the inner loop to explore the best local solutions by alternating between different neighborhoods (lines 10–15). For each inner loop, we randomly select a neighborhood  $N \in S_N$  and used it to perform local optimization from the current solution (lines 11-12). Next, an

additional local optimization based on  $N_{Drop}$  is performed, and the neighborhood  $N$  is removed from the neighborhood set  $S_N$  (lines 13–14). When the neighborhood set  $S_N$  is explored ( $S_N = \emptyset$ ), the inner loop ends. These steps are repeated until there are no improving solutions in the neighborhoods (line 16) and  $\varphi$  is returned (line 17). It is worth emphasizing that the *VNS* procedure employs the first-improving strategy (accepting the first improving solution) and stops when there is no improving solution in the neighborhoods. As it only takes improving solution at each iteration, it is also called “Variable Neighborhood Descent (VND)”. The solution obtained from this procedure corresponds usually to a deep local optimum. To escape from the trap, a perturbation phase (See Section 2.4) is triggered to displace the search to a new search area.

Our *VNS* procedure exploits three sets of nine neighborhoods where seven of them were also used in (Lu et al., 2019a; Avci & Avci, 2019). The first set of four neighborhoods changes the order of customers in one route as follows:

- *Swap* ( $N_1$ ): The visiting positions of two customers in one route are interchanged.
- *Insert* ( $N_2$ ): One customer is removed from its position and inserted to the position between two adjacent nodes in the same route.
- *2-opt* ( $N_3$ ): Two nonadjacent edges are removed and replaced with two new edges in the same route.
- *Or-opt* ( $N_4$ ): A block of  $h$  ( $h = 2, 3$ ) consecutive customers is removed from one route and inserted into two adjacent nodes in the same route.

The second set of three neighborhoods is designed to change the customers between different routes as follows:

- *Inter-Swap* ( $N_5$ ): The positions of two customers are interchanged in two routes.
- *Inter-Insert* ( $N_6$ ): One customer is removed from one route and inserted to the position between two adjacent nodes in another route.
- *Inter-2-opt* ( $N_7$ ): Two edges are removed from two routes and replaced with two new edges. A simple illustration is presented in Figure 1.

The third set of two neighborhoods changes the set of visited customers as follows:

- *Add* ( $N_{Add}$ ): One unselected customer is added to some position in some route.
- *Drop* ( $N_{Drop}$ ): One customer is removed from one route.

Notably, Pei et al. (2020) introduced a series of data structures to realize the fast evaluation of the neighboring solutions in the neighborhoods  $N_1$ - $N_4$ ,  $N_{Add}$  and  $N_{Drop}$  for solving the related TRPP, but they did not study the neigh-

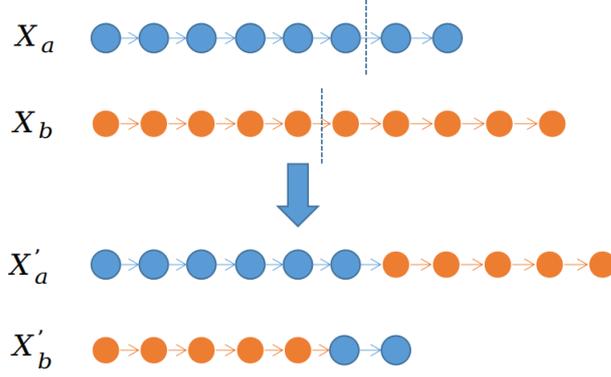


Fig. 1. Illustration of *Inter-2-opt*: suppose two routes  $X_a$  (marked in blue) and  $X_b$  (marked in orange) operating an *Inter-2-opt* produce two new routes  $X'_a$  and  $X'_b$ , where the blue dotted lines represent the edges to be removed.

neighborhoods  $N_5$ - $N_7$ . Here, we extend their method to the neighborhoods for the MTRPP. In practice, each neighboring solution in our algorithm can be evaluated in  $O(1)$  (proof given in Appendix A), which is more efficient than the reference algorithms in the literature (Lu et al., 2019a; Avci & Avci, 2019). The detailed comparisons of the complexities in investigating various neighborhoods between the reference algorithms and the proposed algorithm are discussed in Section 2.7. The complexities of investigating the aforementioned neighborhoods are summarized as follows.

**Proposition 1** *In the MTRPP, for the first set of four neighborhoods ( $N_1$ - $N_4$ ) and the third set of two neighborhoods ( $N_{Add}$  and  $N_{Drop}$ ), the time complexity of evaluating each neighboring solution is  $O(1)$ . Let  $n$  be the number of all customers and  $m$  be the number of visited customers in the solution. The time complexities of investigating these neighborhoods are given as follows.*

- a) Exploring the complete Swap neighborhood requires  $O(m^2)$ .
- b) Exploring the complete Insert neighborhood requires  $O(m^2)$ .
- c) Exploring the complete 2-opt neighborhood requires  $O(m^2)$ .
- d) Exploring the complete Or-opt neighborhood requires  $O(m^2 \cdot h)$ .
- e) Exploring the complete Add neighborhood requires  $O(m \cdot (n - m))$ .
- f) Exploring the complete Drop neighborhood requires  $O(m)$ .

**Proposition 2** *For the second set of three neighborhoods ( $N_5$ - $N_7$ ), each neighboring solution can be evaluated in  $O(1)$ . Let  $m$  be the number of visited customers in the solution. The time complexities of exploring these neighborhoods are summarized as follows.*

- a) Exploring the complete Inter-Swap neighborhood can be finished in  $O(m^2)$ .
- b) Exploring the complete Inter-Insert neighborhood can be finished in  $O(m^2)$ .
- c) Exploring the complete Inter-2-opt neighborhood can be finished in  $O(m^2)$ .

Detailed proofs of Propositions 1 and 2 are presented in Appendix A. With Equation (2) and a special array in Equation (A.1), we can efficiently investigate the aforementioned neighborhoods. Notably, the fast evaluation techniques in Propositions 1 and 2 are actually an approximation based on Equation (2), which is not strictly equivalent to Equation (1) due to the existence of negative revenue nodes. Therefore, a correcting step is applied to ensure an accurate evaluation of each neighboring solution by applying the *Drop* operator within the local optimization procedure (line 13 in Algorithm 2). The influence of this correcting step is experimentally investigated in Section 4.2.

#### 2.4 Perturbation procedure

To help the search escape from deep local optimum, we apply two operators *Insert* and *Add* to perturb the local optimum. We first perform  $St$  times the *Insert* operation by randomly selecting a route and inserting some customers to a random position in the route. For the *Add* operation, we randomly add an unvisited customer to the tail of a random route. This procedure is repeated until all the unvisited customers are added to the solution. The parameter  $St$  is determined by the experiments in Section 3.1. We also tested other perturbation methods, but the proposed method proved to be better.

#### 2.5 Arc-based crossover

In memetic algorithms, crossovers are used to generate diversified offspring solutions from parent solutions at each generation. Generally, a meaningful crossover is expected to inherit useful attributes of the parent solutions and maintain diversity with respect to the parents (Hao, 2012).

Preliminary experiments revealed that the same arcs frequently appear in high-quality solutions (See Section 4.5), which naturally encourages us to preserve these shared arcs (meaningful components) in the offspring solution<sup>1</sup>. Following this observation, we propose a dedicated arc-based crossover for the MTRPP.

For a given solution  $\varphi$  with  $K$  paths  $\{X_1, \dots, X_K\}$ , where each path  $X_k = (x_0^k, \dots, x_{m_k}^k)$  contains  $m_k$  customers, the corresponding arc set  $A$  is defined as follows:

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<sup>1</sup> Note that preserving and transferring the shared components from the parents to the offspring is the basis of backbone-based crossovers (Zhang, 2004; Wang et al., 2013).

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**Algorithm 3** Arc-based crossover (*ABX*)

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```
1: Input: Input graph  $G(V, E)$ , parent solutions  $\varphi_s$  and  $\varphi_t$ , the corresponding arc sets
   of the parents solutions  $A_s$  and  $A_t$ 
2: Output: Offspring solution  $\varphi_o$ 
3: /* RandomSel-Half-Arcs randomly selects 50% of arcs from a given set of arcs. */
4: /*  $V_o$  is the set of the selected customers for  $\varphi_o$ . */
5: /*  $V_f$  is the set of nodes, which will be not removed or inserted to other positions
   in future operations. */
6:  $\varphi_o \leftarrow \varphi_s$ 
7:  $V_o \leftarrow V_s$ 
8:  $V_f = \emptyset$ 
9:  $A_u \leftarrow \text{RandomSel-Half-Arcs}(A_t \setminus A_s)$ 
10: for Each arc  $(a, b) \in A_s \cap A_t$  do
11:    $V_f \leftarrow V_f \cup \{a, b\}$ 
12: end for
13: for Each arc  $(a, b) \in A_u$  do
14:   if  $a \notin V_o$  and  $b \notin V_o$  then
15:     Insert  $(a, b)$  to the tail of some route in  $\varphi_o$ 
16:   else if  $a \in V_o$  and  $b \notin V_o$  then
17:     Insert  $b$  to the position after  $a$  in  $\varphi_o$ 
18:   else if  $a \notin V_o$  and  $b \in V_o$  then
19:     Insert  $a$  to the position before  $b$  in  $\varphi_o$ 
20:   else if  $b \notin V_f$  then
21:     Remove  $b$  from  $\varphi_o$ 
22:     Insert  $b$  to the position after  $a$  in  $\varphi_o$ 
23:   else if  $a \notin V_f$  and  $b \in V_f$  then
24:     Remove  $a$  from  $\varphi_o$ 
25:     Insert  $a$  to the position before  $b$  in  $\varphi_o$ 
26:   end if
27:    $V_o \leftarrow V_o \cup \{a, b\}$ 
28:    $V_f \leftarrow V_f \cup \{a, b\}$ 
29: end for
30: return  $\varphi_o$ 
```

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$$A = \{(x_i^k, x_{i+1}^k) : x_i^k, x_{i+1}^k \in X_k, i \in [0, m_k - 1], k \in [1, K]\} \quad (3)$$

Given two parent solutions  $\varphi_s$  and  $\varphi_t$ , let  $V_s$  and  $V_t$  represent the set of selected customers, and  $A_s$  and  $A_t$  represent their corresponding arc sets, respectively. The arc-based crossover first copies one parent solution (say  $\varphi_s$ ) to the offspring solution, and then randomly inserts 50% of nonshared arcs of  $\varphi_t$  ( $A_t \setminus A_s$ ) into the offspring solution, and finally removes the duplicated vertices if needed.

The proposed *ABX* crossover is presented in Algorithm 3. First,  $\varphi_s$  is copied to  $\varphi_o$ ,  $V_s$  is copied to  $V_o$ ,  $V_f$  is initialized as empty, and an arc set  $A_u$  is generated by randomly selecting 50% arcs from  $A_t \setminus A_s$  (lines 6–9). To preserve the shared

arcs  $(a, b) \in A_s \cap A_t$  in the offspring solution  $\varphi_o$ , we add the vertices of these arcs into the set  $V_f$  (lines 10–12). The vertices in  $V_f$  are not considered in the future operations. Next, we insert each arc  $(a, b) \in A_u$  into the offspring solution and remove the duplicated vertices (lines 13–29) according to the following conditions:

- 1) If both  $a$  and  $b$  are not included in  $V_o$ , the arc  $(a, b)$  is added to the tail of some route (lines 14–15).
- 2) If only  $a$  is contained in  $V_o$ , node  $b$  is inserted at the position after  $a$  in  $\varphi_o$  (lines 16–17).
- 3) If only  $b$  belongs to  $V_o$ , node  $a$  is inserted at the position before  $b$  in  $\varphi_o$  (lines 18–19).
- 4) If the two nodes are already in  $V_o$  and  $b$  is not in  $V_f$ , we remove  $b$  from  $\varphi_o$  and insert it at the position after  $a$  (lines 20–22).
- 5) If the two nodes are already in  $V_o$  and  $a$  is not in  $V_f$ , we remove  $a$  from  $\varphi_o$  and insert it at the position before  $b$  (lines 23–26).

Both  $a$  and  $b$  are added into the set  $V_o$  and  $V_f$  after the aforementioned operations (lines 27–28), and the whole loop ends when all the arcs  $(a, b) \in A_u$  are added into the offspring. Finally, the new generated offspring  $\varphi_o$  is returned (line 30).

Figure 2 displays an illustrative example of the proposed crossover.

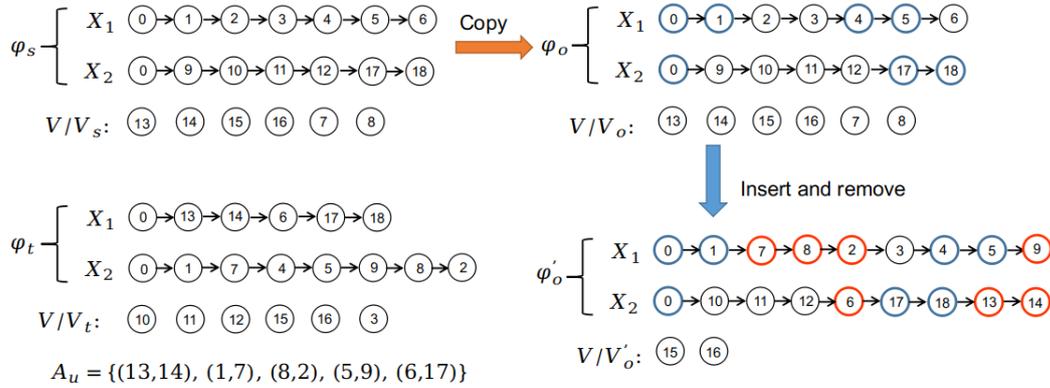


Fig. 2. Illustration of *ABX* on a 18-customer instance with two routes.  $\varphi_s$  and  $\varphi_t$  are two parent solutions,  $\varphi_o$  is the solution copied from  $\varphi_s$ , and  $\varphi'_o$  is the generated offspring solution.  $A_u$  is the set of arcs, which are randomly selected from the non-shared arcs of  $\varphi_t$ . Here,  $V \setminus V_i$  represents the set of unselected customers for the solution  $\varphi_i$ , which can be  $\varphi_s$ ,  $\varphi_t$ ,  $\varphi_o$ , and  $\varphi'_o$ . The nodes of the shared arcs between  $\varphi_s$  and  $\varphi_t$  are marked in blue, while the nodes involved in inserting and removing are marked in red.

## 2.6 Pool updating

After the improvement of the offspring solution by the local refinement procedure, the population is updated by the improved offspring solution  $\varphi_{lb}$  (See line 28 in Algorithm 1). In this study, we use a simple strategy: if  $\varphi_{lb}$  differs from all the solutions in the population and is better than the worst solution in terms of the objective value,  $\varphi_{lb}$  replaces the worst solution in the population. Otherwise,  $\varphi_{lb}$  is abandoned. We tested a diversity-quality updating strategy, which considers not only the quality of the newly obtained solution but also its average distance to the other solutions to determine whether to accept  $\varphi_{lb}$  into the population. However, the proposed simple updating strategy exhibited a superior performance.

## 2.7 Discussion

EHSA-MTRPP differs from the reference algorithms (Lu et al., 2019a; Avci & Avci, 2019) in two aspects.

EHSA-MTRPP employs fast neighborhood evaluation techniques in its local optimization procedure for the MTRPP for the first time. These evaluation techniques ensure a higher computational efficiency of neighborhood examination than those of the existing algorithms such as ALNS-MTRPP (Avci & Avci, 2019) and MA-MTRPP (Lu et al., 2019a). To illustrate this point, Table 1 summarizes the various neighborhoods as well as the complexities in investigating each neighborhood in ALNS-MTRPP and MA-MTRPP.

From Table 1, we clearly remark that the proposed algorithm investigates the used neighborhoods efficiently. Notably, evaluating one neighboring solution in the *Inter-Or-opt* neighborhood and the *Double-bridge* neighborhood (*Double-bridge* is a popular operator for the traveling salesman problem (Lin & Kernighan, 1973)) could also be done in  $O(1)$  using Equation (2) and the auxiliary data structures. However, these neighborhoods are not helpful in improving the performance of our algorithm. Therefore, these two neighborhoods are not used in the proposed algorithm. As these two neighborhoods are widely used in related routing problems, we give the detailed proof of their complexities in Appendix A.

Additionally, the proposed algorithm adopts a dedicated arc-based crossover, which can generate new offspring solutions inheriting meaningful components (the shared arcs) and diversified from the parent solutions. MA-MTRPP (Lu et al., 2019a) applies a route-based crossover (RBX) (Potvin & Bengio, 1996), which simply copies one parent solution to the offspring solution, replaces some route of the offspring solution with a route from another parent solu-

Table 1

Summary of the neighborhood structures as well as their complexities in the reference algorithms and the proposed algorithm, where  $n$  depicts the number of customers,  $m$  is the number of selected customers, and  $h$  is the number of consecutive customers in the block for  $N_4$ .

Neighborhood	ALNS-MTRPP (Avcı & Avcı, 2019)		MA-MTRPP (Lu et al., 2019a)		EHSA-MTRPP	
	Employment	Complexity	Employment	Complexity	Employment	Complexity
<i>Swap</i>	✓	$O(m^2 \lg n)$	✓	$O(m^3)$	✓	$O(m^2)$
<i>Insert</i>	✓	$O(m^2 \lg n)$	✓	$O(m^3)$	✓	$O(m^2)$
<i>2-opt</i>	✓	$O(m^2 \lg n)$	✓	$O(m^3)$	✓	$O(m^2)$
<i>Or-opt</i>	✓	$O(m^2 \lg n)$	✓	$O(m^3)$	✓	$O(m^2 \cdot h)$
<i>Inter-Swap</i>	✓	$O(m^2 \lg n)$	✓	$O(m^3)$	✓	$O(m^2)$
<i>Inter-Insert</i>	✓	$O(m^2 \lg n)$	✓	$O(m^3)$	✓	$O(m^2)$
<i>Inter-2-opt</i>	✓	$O(m^2 \lg n)$	✓	$O(m^3)$	✓	$O(m^2)$
<i>Inter-Or-opt</i>	✓	$O(m^2 \lg n)$	✓	$O(m^3)$	✗	-
<i>Add</i>	✗	-	✗	-	✓	$O(m \cdot (n - m))$
<i>Drop</i>	✗	-	✗	-	✓	$O(m)$
<i>Double-bridge</i>	✗	-	✓	$O(m^3)$	✗	-

tion, and removes the duplicated vertices if required. Our experiments and observations revealed that the key components of the solutions are the “arcs” and not the “routes,” rendering ABX more appropriate than RBX for solving the MTRPP. Experimental results in Section 4.4 confirm these observations and demonstrate the effectiveness of the proposed algorithm with ABX compared to its variant with RBX.

### 3 Computational results and comparative study

This section presents computational experiments over the benchmark instances in the literature to evaluate the EHSA-MTRPP algorithm.

#### 3.1 Instances, reference algorithms and parameter setting

Our computational experiments are based on two groups of 470 benchmark instances from Avcı & Avcı (2019) (230 instances denoted by *Ins\_Avcı*) and Lu et al. (2019a) (240 instances denoted by *Ins\_Lu*)<sup>2</sup>.

The 230 *Ins\_Avcı* instances were divided into 14 sets of instances according to the number of customers and servers (repairmen). The first ten sets of instances were converted from instances of the TRPP (Dewilde et al., 2013)

<sup>2</sup> These instances are available from: <https://github.com/REN-Jintong/MTRPP>.

( $n=10, 20, 50, 100, 200$ ) by considering two and three servers. As each set of instances of the TRPP is composed of 20 instances, the authors have created  $5 \times 2 \times 20 = 200$  instances. The other four sets of instances are of larger sizes, including 10 instances with 500 customers and 10 servers, 10 instances with 500 customers and 20 servers, five instances with 750 customers and 100 servers, and five instances with 1000 customers and 50 servers.

The 240 Ins\_Lu instances are also based on instances of the TRPP (Dewilde et al., 2013) and were divided into 12 sets of instances (with  $n=20, 50, 100, 200$  and two, three, and four servers), where each set is composed of 20 instances. Unlike the Ins\_Avci instances, Lu et al. (2019a) adjusted the profit for each customer of the instances to ensure a high-quality solution to hold approximately 75% to 95% of all the customers. Therefore, each customer  $i$  was assigned a non-negative profit  $p_i$ , which is a random integer between  $\lceil d_{0,i} \rceil$  and  $\lceil \frac{n}{k} \times \frac{\sum_{(i,j) \in E} d_{i,j}}{|E|} \rceil$ , where  $n$  is the number of all customers and  $k$  is the number of servers.

The proposed EHSA-MTRPP algorithm was programmed in C++ and compiled with the g++ 7.5.0 compiler and the -O3 optimization flag<sup>3</sup>. All the experiments reported in this work were performed on a computer with Intel Xeon(R) E5-2695 processor (2.1 GHz CPU and 2 GB RAM). The experimental environments of the reference algorithms are listed as follows.

- The ALNS-MTRPP algorithm (Avci & Avci, 2019) was coded in Matlab 9.1.0, and run on a personal computer equipped with a Intel(R) Core (TM) i7-5500U processor (2.4 GHz and 8 GB RAM).
- The MA-MTRPP algorithm (Lu et al., 2019a) was programmed in C++ and compiled with g++. The experiments were executed on a computer with an Intel Xeon(R) CPU E5-2695 processor (2.1 GHz CPU and 2 GB RAM).

The reference results for the ALNS-MTRPP algorithm are extracted from Avci & Avci (2019) for the 230 Ins\_Avci instances, while the results for the MA-MTRPP algorithm are from Lu et al. (2019a) for the 240 Ins\_Lu instances. Unfortunately, the source codes of these reference algorithms are not available. Therefore, to ensure a fair comparison, we performed two experiments on the two groups of instances. Following the experimental setup in the literature, EHSA-MTRPP was run independently five times with different seeds on each Ins\_Avci instance while 10 times on the Ins\_Lu instances. The stopping condition in the literature is a prefixed maximum number of iterations, whereas in EHSA-MTRPP a maximum cut-off time is used. The average running time of ALNS-MTRPP (Avci & Avci, 2019) was typically several hours for large instances, and the average time to get the best solution for MA-MTRPP (Lu

<sup>3</sup> The code of our algorithm will be available at the Github page of footnote 2.

et al., 2019a) was approximately 300 and 500 seconds for the 200 customer instances. For fair comparisons, we set our cut-off time  $T_{max}$  to be twice the number of customers (in seconds). In practice, EHSA-MTRPP can attain superior solutions than the reference algorithms with less time for most of the benchmark instances.

To determine the parameters listed in Table 2, we used an automatic parameter tuning tool Irace (López-Ibáñez et al., 2016). In this experiment, we selected 10 large and difficult instances as the training instances and set the maximum number of runs (tuning budget) to 2000. According to the tun-

Table 2

Parameters of EHSA-MTRPP tuned with the Irace package.

Parameter	Description	Type	Value range
$Limi$	Search limit	Integer	[0, 30]
$St$	Strength of the <i>Insert</i> perturbation	Integer	[0, 100]
$Np$	Number of population	Categorical	{6, 8, 10, 20, 50, 100}

ing experiment, the parameters determined by Irace are  $Limi = 2$ ,  $St = 11$ , and  $Np = 10$ . We used this parameter setting for EHSA-MTRPP for all our computational experiments.

### 3.2 Comparative studies

This section presents the experimental results obtained by EHSA-MTRPP with respect to the reference algorithms (Lu et al., 2019a; Avci & Avci, 2019) over the two groups of 470 benchmark instances.

Table 3 lists the overall results of the reference algorithm ALNS-MTRPP and our EHSA-MTRPP algorithm on the 230 Ins\_Avci instances (better results are indicated in bold). Columns “Size” and “ $K$ ” display the numbers of customers and servers. Columns “Best,” “Average,” and “Tavg” (columns 3-5) denote the best found results, average found results, and average time to obtain the best found solutions, respectively, for ALNS-MTRPP. The following three columns depict the same information for EHSA-MTRPP (all the aforementioned values are averaged over the instances of each set). Column “p-value” lists the results of the Wilcoxon signed rank tests of the best found results (column “Best”) between ALNS-MTRPP and EHSA-MTRPP, where “NA” indicates no difference between the two groups of results. Next, column “ $\delta$ ” presents an improvement in the percentage of the best objective value found by EHSA-MTRPP over the best objective value of ALNS-MTRPP. The last three columns list the number of instances for which the EHSA-MTRPP algorithm improved (“W”), matched (“M”), or failed (“F”) to attain the best found results reported in Avci & Avci (2019). Finally, row “Avg.” depicts the

average values of the corresponding indicators.

Table 3

Results of the reference algorithm ALNS-MTRPP(Avci & Avci, 2019) and EHSA-MTRPP on the 230 Ins\_Avci instances. Each instance was solved five times according to Avci & Avci (2019). The optimal solutions for the instances of “Size = 10,  $K = 2, 3$ ” are known, but their timing information is not available.

Size	$K$	ALNS-MTRPP			EHSA-MTRPP			p-value	$\delta$	W	M	F
		Best	Average	Tavg	Best	Average	Tavg					
10	2	2114.85	2114.85	0.00	2114.85	2114.85	0.03	NA	0.000%	0	20	0
10	3	2230.60	2230.60	0.00	2230.60	2230.60	0.03	NA	0.000%	0	20	0
20	2	9074.60	9074.60	3.15	9074.60	9074.60	0.06	NA	0.000%	0	20	0
20	3	9450.45	9450.45	3.10	9450.45	9450.45	0.06	NA	0.000%	0	20	0
50	2	55469.15	55468.55	35.50	55469.15	55469.15	0.82	NA	0.000%	0	20	0
50	3	57184.85	57184.45	30.85	<b>57185.35</b>	<b>57185.35</b>	0.78	$3.17 \times 10^{-1}$	0.001%	1	19	0
100	2	226899.95	226895.80	346.45	<b>226900.95</b>	<b>226900.47</b>	22.96	$1.02 \times 10^{-1}$	0.000%	0	20	0
100	3	231954.05	231947.30	551.05	<b>231958.70</b>	<b>231954.23</b>	29.80	$1.80 \times 10^{-1}$	0.002%	1	19	0
200	2	893183.35	892864.45	3600.00	<b>893513.85</b>	<b>893374.88</b>	263.23	$1.20 \times 10^{-4}$	0.037%	19	0	1
200	3	907775.35	907611.55	3600.00	<b>907950.35</b>	<b>907841.50</b>	258.94	$8.84 \times 10^{-5}$	0.019%	20	0	0
500	10	1428716.30	1422361.10	10800.00	<b>1437256.40</b>	<b>1436265.76</b>	898.97	$5.06 \times 10^{-3}$	0.598%	10	0	0
500	20	692074.30	688804.60	10800.00	<b>694406.60</b>	<b>694114.40</b>	897.70	$5.06 \times 10^{-3}$	0.337%	10	0	0
750	100	4000199.00	3966184.40	43200.00	<b>4000585.60</b>	<b>4000541.60</b>	1352.60	$4.31 \times 10^{-2}$	0.010%	5	0	0
1000	50	5186645.80	5066567.40	43200.00	<b>5191726.40</b>	<b>5191527.76</b>	1757.60	$4.31 \times 10^{-2}$	0.098%	5	0	0
Avg.		500212.50	496401.17	3527.83	<b>500848.55</b>	<b>500765.52</b>	195.88					

From row “Avg.” of Table 3, we remark that EHSA-MTRPP outperformed ALNS-MTRPP in terms of the best found results and the average found results. The two algorithms exhibited the same performance for the first five sets of instances (instances of small sizes), whereas for the remaining nine sets of instances, EHSA-MTRPP outperformed the reference algorithm ALNS-MTRPP both in terms of solution quality (“Best” and “Average”) and running time (column “Tavg”). In particular, the results of the Wilcoxon signed rank test (column “p-value”) revealed that a considerable difference exists between the best found results between ALNS-MTRPP and EHSA-MTRPP over the last six set of instances (p-value<0.05). Overall, EHSA-MTRPP clearly dominated ALNS-MTRPP by updating the best records (new lower bounds) for 71 instances, matching the best-known results for 158 instances, and only missing one best-known result.

Using similar column headings as Table 3, Table 4 summarizes the overall results of MA-MTRPP and EHSA-MTRPP on the 240 Ins\_Lu instances.

Row “Avg.” in Table 4 reveals that EHSA-MTRPP achieved a superior performance (column “Best” and “Average”) to that of MA-MTRPP with a shorter average time (66.45 seconds compared to the 102.64 seconds achieved by MA-MTRPP). For each set of instances, EHSA-MTRPP exhibited a superior or equal performance in terms of the best found results and average found results. In particular, the proposed algorithm outperformed MA-MTRPP on the last three sets of large instances confirmed by the Wilcoxon signed rank test (p-value < 0.05). In addition, EHSA-MTRPP required less time (column

Table 4

Results of the reference algorithm MA-MTRPP (Lu et al., 2019a) and EHSA-MTRPP on the instances of Ins\_Lu. Each instance was solved 10 times according to Lu et al. (2019a). The optimal solutions for small instances (“Size = 20,  $K = 2, 3, 4$ ”) are known.

Size	$K$	MA-MTRPP			EHSA-MTRPP			p-value	$\delta$	W	M	F
		Best	Average	Tavg	Best	Average	Tavg					
20	2	3937.60	3937.60	1.31	3937.60	3937.60	0.05	NA	0.000%	0	20	0
20	3	2399.20	2399.20	1.29	2399.20	2399.20	0.05	NA	0.000%	0	20	0
20	4	1733.40	1733.40	1.23	1733.40	1733.40	0.06	NA	0.000%	0	20	0
50	2	27172.15	27172.15	7.38	<b>27173.55</b>	<b>27173.55</b>	1.02	$1.09 \times 10^{-1}$	0.005%	3	17	0
50	3	17523.55	17523.55	6.26	17523.55	17523.55	0.66	NA	0.000%	0	20	0
50	4	13049.05	13049.05	5.72	<b>13049.25</b>	<b>13049.25</b>	0.75	$1.80 \times 10^{-1}$	0.002%	2	18	0
100	2	113566.35	113560.76	46.13	<b>113567.10</b>	<b>113566.60</b>	21.44	$1.80 \times 10^{-1}$	0.001%	2	18	0
100	3	76976.35	76972.48	37.85	<b>76976.65</b>	<b>76976.24</b>	23.31	$3.17 \times 10^{-1}$	0.000%	1	19	0
100	4	57188.40	57186.69	32.55	<b>57188.55</b>	<b>57188.53</b>	21.07	$3.17 \times 10^{-1}$	0.000%	1	19	0
200	2	472301.40	472002.08	455.39	<b>472499.25</b>	<b>472354.94</b>	254.16	$1.03 \times 10^{-4}$	0.042%	19	0	1
200	3	321136.55	320912.21	358.27	<b>321278.75</b>	<b>321175.57</b>	245.66	$8.86 \times 10^{-5}$	0.044%	20	0	0
200	4	236694.15	236539.09	278.37	<b>236805.20</b>	<b>236720.93</b>	229.22	$1.55 \times 10^{-4}$	0.047%	18	1	1
Avg.		111973.18	111915.69	102.64	<b>112011.00</b>	<b>111983.28</b>	66.45					

“Tavg”) than MA-MTRPP did to attain the best found solutions for each set of instances. Overall, EHSA-MTRPP updated 66 best records (new lower bounds), matched the best-known results for 172 instances, and missed only two best-known results.

In summary, EHSA-MTRPP provided considerably superior results than the reference algorithms on the 470 benchmark instances by establishing 137 new record results (29%) and matching best-known results for 330 instances (70%). The detailed comparisons between the reference algorithms (Lu et al., 2019a; Avci & Avci, 2019) and EHSA-MTRPP are available at <https://github.com/REN-Jintong/MTRPP>.

## 4 Additional results

This section first presents additional results to demonstrate the critical roles of the fast evaluation technique, the *Drop* operator and the arc-based crossover for the proposed algorithm. Furthermore, we experimentally compared the proposed algorithm to the variant with RBX and revealed the rationale behind the proposed crossover.

#### 4.1 Influence of the fast evaluation technique in the neighborhood structure

To investigate the influence of the fast evaluation technique on our algorithm, we created a variant of EHSA-MTRPP where the fast evaluation technique (named EHSA-MTRPP-NoFast) was disabled. We used the parameters in Section 3.1 and ran both EHSA-MTRPP-NoFast and EHSA-MTRPP independently 10 times on each large-size instance ( $n \geq 200$ ). The cut-off time was set to be twice the number of customers. Using similar column headings as Table 4, Table 5 summarizes the comparative results of EHSA-MTRPP-NoFast and EHSA-MTRPP over large instances from Ins\_Avci and Ins\_Lu (Better results are marked in bold).

Table 5

Results of EHSA-MTRPP-NoFast and EHSA-MTRPP on large benchmark instances. Each instance was solved 10 times, and the cut-off time was set to be twice the number of customers.

Size	K	EHSA-MTRPP-NoFast			EHSA-MTRPP			p-value	$\delta$	W	M	F
		Best	Average	Tavg	Best	Average	Tavg					
Ins_Avci												
200	2	893247.90	892891.18	169.58	<b>893513.85</b>	<b>893374.88</b>	263.23	$1.03 \times 10^{-4}$	0.030%	19	0	1
200	3	907732.95	907532.35	169.48	<b>907950.35</b>	<b>907841.50</b>	258.94	$8.86 \times 10^{-5}$	0.024%	20	0	0
500	10	1431017.90	1428561.78	500.05	<b>1437256.40</b>	<b>1436265.76</b>	898.97	$5.06 \times 10^{-3}$	0.436%	10	0	0
500	20	692814.30	691839.16	500.21	<b>694406.60</b>	<b>694114.40</b>	897.70	$5.06 \times 10^{-3}$	0.230%	10	0	0
750	100	3999139.60	3948547.72	754.74	<b>4000585.60</b>	<b>4000541.60</b>	1352.60	$4.31 \times 10^{-2}$	0.036%	5	0	0
1000	50	5180266.20	5116501.24	1003.76	<b>5191726.40</b>	<b>5191527.76</b>	1757.60	$4.31 \times 10^{-2}$	0.221%	5	0	0
Ins_Lu												
200	2	472354.10	471965.12	325.62	<b>472499.25</b>	<b>472354.94</b>	254.16	$7.80 \times 10^{-4}$	0.031%	19	0	1
200	3	321165.95	320919.83	327.09	<b>321278.75</b>	<b>321175.57</b>	245.66	$1.32 \times 10^{-4}$	0.035%	19	1	0
200	4	236709.90	236544.92	330.46	<b>236805.20</b>	<b>236720.93</b>	229.22	$1.32 \times 10^{-4}$	0.040%	19	1	0

From columns “Best” and “Average” in Table 5, one can conclude that EHSA-MTRPP outperformed EHSA-MTRPP-NoFast for each set of instances, which was also confirmed by the Wilcoxon signed rank tests ( $p$ -value < 0.05).

To illustrate the effectiveness of the fast evaluation technique, we performed another experiment by running both algorithms independently 10 times on nine instances of various sizes and recorded the numbers of the visited neighboring solutions. The cut-off time was also set to be twice the number of customers. Figure 3 displays the average ratio of the visited solutions of EHSA-MTRPP over EHSA-MTRPP-NoFast for the instances of different sizes. EHSA-MTRPP visited more neighboring solutions than EHSA-MTRPP-NoFast did for all selected instances. The dominance of our algorithm with the fast evaluation technique becomes even more clear as the size of the instance increases. In summary, the results in Table 5 and in Figure 3 demonstrate that the fast

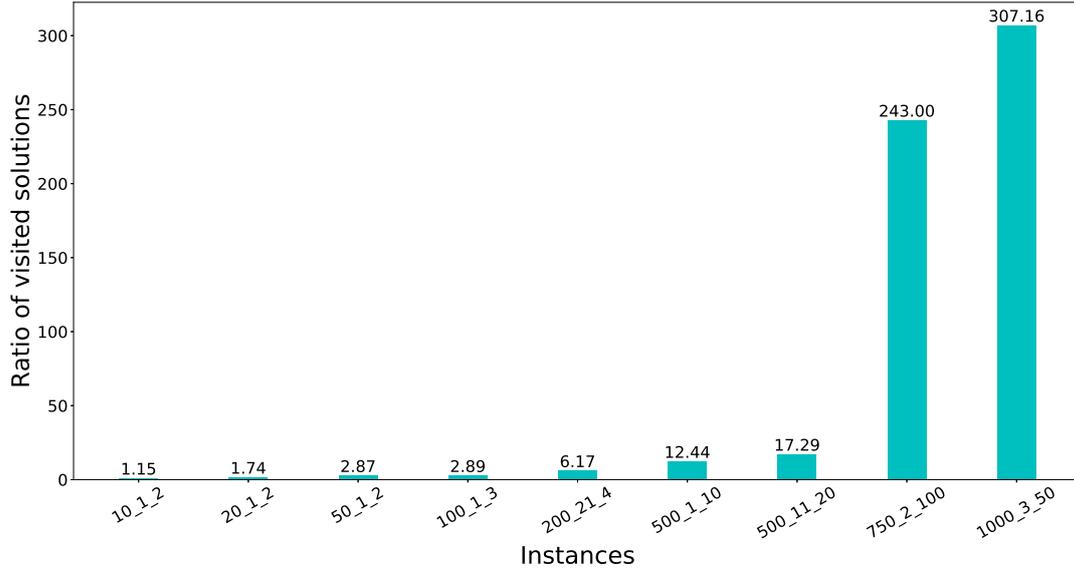


Fig. 3. Average ratio of the visited solutions of EHSA-MTRPP over EHSA-MTRPP-NoFast for nine instances of different sizes. “Size\_index\_K” for each instance indicates the number of customers, the instance index, and the number of routes. Each instance was solved 10 times independently, and the cut-off time was set to twice the number of customers.

evaluation technique can help the proposed algorithm to efficiently explore the search space and contributes to the performance of the proposed algorithm.

#### 4.2 Influence of the Drop operator in the neighborhood structure

As shown in Section 2.3, the *Drop* operator (line 13 in Algorithm 2) is applied after the local optimization with a neighborhood to eliminate the negative revenue nodes and get more accurate fitness function by the fast evaluation technique. This section investigates the influences of the *Drop* operator on the performance of the proposed algorithm, by focusing on the following questions: what happens if the *Drop* operator is disabled or if it is applied very frequently after each solution transition?

To answer these questions, we first studied the impacts of the *Drop* operator over the VNS procedure. For this, we extracted the VNS procedure from EHSA-MTRPP to create two variants: VNS-NoDrop by deleting the *Drop* operator (line 13 in Algorithm 2) and VNS-EachDrop by applying the *Drop* operator after each move (i.e., after each solution transition) in the local optimization. We ran the two variants VNS-NoDrop and VNS-EachDrop to solve 9 representative instances (100 runs per variant and per instance). The best found solutions and the running time were recorded.

Figure 4 summarizes the corresponding bar charts that describe the averaged

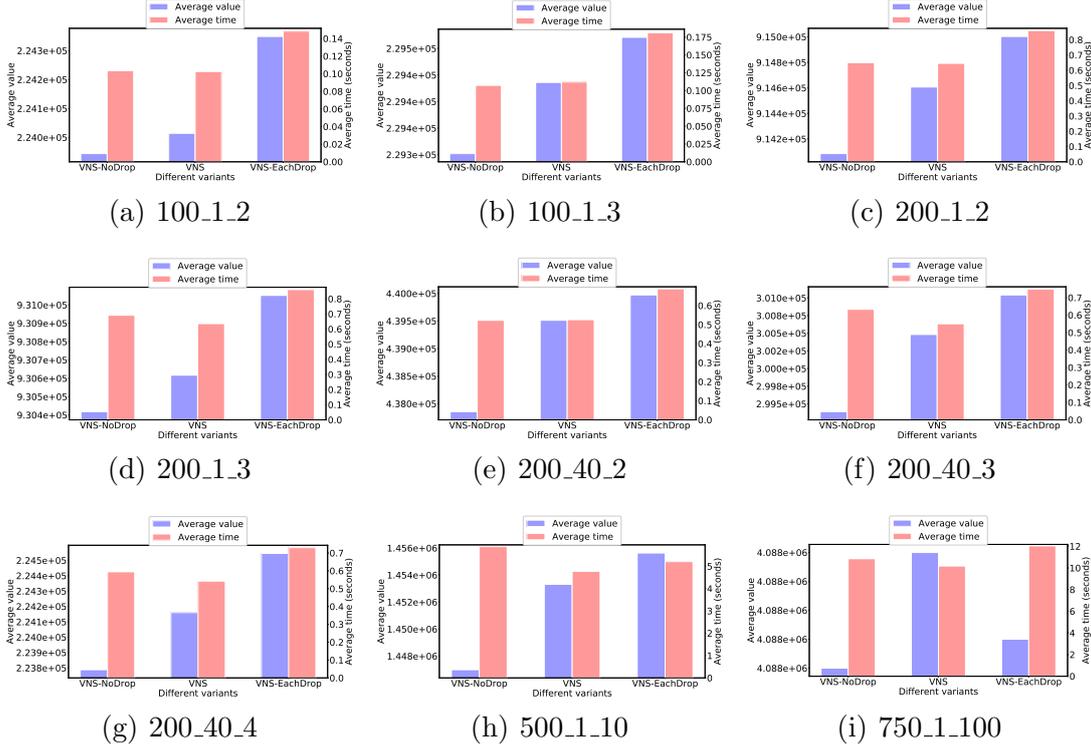


Fig. 4. Bar charts of VNS-NoDrop, VNS and VNS-EachDrop for solving 9 representative instances. The results were averaged over 100 independent executions of each compared algorithm.

best objective values (blue bars) and average running time (red bars) of VNS with respect to its variants VNS-NoDrop and VNS-EachDrop. We observe that VNS and VNS-EachDrop outperform VNS-NoDrop in terms of the best found solutions for all the cases. In particular, VNS uses equal or less time to achieve better results than VNS-NoDrop. Between VNS and VNS-EachDrop, VNS-EachDrop obtains better results (except for 750\_1\_100) than VNS but uses more time. In summary, the *Drop* operator plays a positive role to the local optimization procedure. By more frequently applying the *Drop* operator (eliminating the influence of the negative revenue nodes), VNS-EachDrop finds better results than VNS while requiring more computation time.

To assess the impacts of the *Drop* operator within the EHSA-MTRPP algorithm, we created an algorithmic variant EHSA-MTRPP-EachDrop by replacing VNS with VNS-EachDrop in Algorithm 1 (line 19) and tested EHSA-MTRPP-EachDrop on the large benchmark instances using the same experimental setup in Section 3.1. The comparative results of EHSA-MTRPP-EachDrop and EHSA-MTRPP are shown in Table 6. For the best found results, EHSA-MTRPP-EachDrop performed better than EHSA-MTRPP over 3 sets of instances, but the statistically significant differences were not confirmed by the Wilcoxon signed rank tests ( $p\text{-value} > 0.05$ ). On the other hand, EHSA-MTRPP had a better performance over the remaining instances, and

dominated EHSA-MTRPP-EachDrop on three sets of them (confirmed by p-value<0.05). For the average results, EHSA-MTRPP dominated the EHSA-MTRPP-EachDrop almost over all sets of instances.

Table 6

Results of EHSA-MTRPP-EachDrop and EHSA-MTRPP on large benchmark instances. Each instance was solved 10 times, and the cut-off time was set to be twice the number of customers.

Size	K	EHSA-MTRPP-EachDrop			EHSA-MTRPP			p-value	$\delta$	W	M	F
		Best	Average	Tavg	Best	Average	Tavg					
Ins_Avci												
200	2	<b>893515.30</b>	893323.11	130.83	893513.85	<b>893374.88</b>	263.23	$7.06 \times 10^{-1}$	-0.000%	7	6	7
200	3	907921.85	907815.46	118.07	<b>907950.35</b>	<b>907841.50</b>	258.94	$2.31 \times 10^{-2}$	0.003%	11	7	2
500	10	1436975.30	1435948.48	464.07	<b>1437256.40</b>	<b>1436265.76</b>	898.97	$3.86 \times 10^{-1}$	0.020%	6	0	4
500	20	694387.30	694093.98	453.27	<b>694406.60</b>	<b>694114.40</b>	897.70	$9.59 \times 10^{-1}$	0.003%	4	0	6
750	100	4000562.40	4000520.92	708.07	<b>4000585.60</b>	<b>4000541.60</b>	1352.60	$4.31 \times 10^{-2}$	0.001%	5	0	0
1000	50	<b>5191788.20</b>	<b>5191568.52</b>	822.56	5191726.40	5191527.76	1757.60	$3.45 \times 10^{-1}$	-0.001%	2	0	3
Ins_Lu												
200	2	<b>472508.80</b>	472309.32	220.51	472499.25	<b>472354.94</b>	254.16	$9.53 \times 10^{-1}$	-0.002%	5	11	4
200	3	321278.25	321140.49	213.01	<b>321278.75</b>	<b>321175.57</b>	245.66	$8.59 \times 10^{-1}$	0.000%	6	11	3
200	4	236794.90	236682.46	206.16	<b>236805.20</b>	<b>236720.93</b>	229.22	$4.69 \times 10^{-2}$	0.004%	7	10	3

Finally, we investigated the impacts of the *Drop* operator over the EHSA-MTRPP algorithm in terms of time-consumption. We observe that the *Drop* operator generally consumed more time with EHSA-MTRPP-EachDrop than with EHSA-MTRPP. As an example, the *Drop* operator consumed 3.45% of the total time for EHSA-MTRPP-EachDrop against 0.20% for EHSA-MTRPP, when they were used to solve the large instance 1000\_1\_50. Nevertheless, calling the *Drop* operator after each move (in EHSA-MTRPP-EachDrop) doesn't excessively increase the time given that *Drop* has a linear time complexity. Instead, the most time-consuming component in both algorithms is the local search with the other neighborhoods (line 12, Algorithm 2).

To sum, EHSA-MTRPP has a slightly better performance than EHSA-MTRPP-EachDrop on the benchmark instances. However, EHSA-MTRPP-EachDrop also provides a number of results better than EHSA-MTRPP. We conclude that EHSA-MTRPP-EachDrop is a viable alternative for solving the MTRPP.

### 4.3 Influence of the crossover operator

This section explores the contributions of the arc-based crossover to our algorithm. We created an EHSA-MTRPP variant (ILS-MTRPP) by disabling ABX (lines 15–16) in Algorithm 1. Using the same experimental setup in Section 3.1, another experiment was performed on the benchmark instances of

large size, and the results are presented in Table 7 with the same column headings as Table 5 (better results are marked in bold).

Table 7

Results of ILS-MTRPP and EHSA-MTRPP on large instances from the benchmark. Each instance was solved 10 times, and the cut-off time was set to be twice the number of customers.

Size	K	ILS-MTRPP			EHSA-MTRPP			p-value	$\delta$	W	M	F
		Best	Average	Tavg	Best	Average	Tavg					
<b>Ins_Avci</b>												
200	2	893225.25	892997.62	106.16	<b>893513.85</b>	<b>893374.88</b>	263.23	$8.86 \times 10^{-5}$	0.032%	20	0	0
200	3	907796.10	907666.30	97.70	<b>907950.35</b>	<b>907841.50</b>	258.94	$8.84 \times 10^{-5}$	0.017%	20	0	0
500	10	1435567.80	1434542.62	213.20	<b>1437256.40</b>	<b>1436265.76</b>	898.97	$5.06 \times 10^{-3}$	0.118%	10	0	0
500	20	693796.30	693456.04	215.12	<b>694406.60</b>	<b>694114.40</b>	897.70	$5.06 \times 10^{-3}$	0.088%	10	0	0
750	100	4000456.40	4000414.68	320.81	<b>4000585.60</b>	<b>4000541.60</b>	1352.60	$4.31 \times 10^{-2}$	0.003%	5	0	0
1000	50	5191550.60	5191326.92	520.71	<b>5191726.40</b>	<b>5191527.76</b>	1757.60	$4.31 \times 10^{-2}$	0.003%	5	0	0
<b>Ins_Lu</b>												
200	2	472282.15	472016.28	198.16	<b>472499.25</b>	<b>472354.94</b>	254.16	$2.93 \times 10^{-4}$	0.046%	19	0	1
200	3	321140.15	320982.75	201.67	<b>321278.75</b>	<b>321175.57</b>	245.66	$8.84 \times 10^{-5}$	0.043%	20	0	0
200	4	236703.05	236592.84	199.80	<b>236805.20</b>	<b>236720.93</b>	229.22	$8.84 \times 10^{-5}$	0.043%	20	0	0

Columns “Best” and “Average” in Table 7 indicate that EHSA-MTRPP clearly dominated ILS-MTRPP for each set of instances (only missing one instance in “Size=200, K=2” in Ins\_Lu). The dominance is confirmed by the results of the Wilcoxon signed rank tests ( $p\text{-value} < 0.05$ ). This experiment revealed that ABX contributed positively to the performance of our EHSA-MTRPP algorithm.

#### 4.4 Compared to the route-based crossover (RBX) in the literature

This section compares the arc-based crossover and the route-based crossover, which is used in the reference algorithm MA-MTRPP (Lu et al., 2019a). We created an EHSA-MTRPP variant (EHSA-MTRPP-RBX) by replacing ABX with RBX (line 16 in Algorithm 1). On each large-size instance, both algorithms were independently run 10 times using the parameters in Section 3.1. The cut-off time was always set to be twice the number of customers. The results are summarized in Table 8, which uses the same column headings as Table 5 (Better results are indicated in bold).

The results revealed that with the exception of a few cases, EHSA-MTRPP outperformed EHSA-MTRPP-RBX on all sets of instances in terms of the best found results (column “Best”) and the average found results (column “Average”), and the Wilcoxon signed rank tests ( $p\text{-value} < 0.05$ ) indicate that significant differences exist for eight sets of results (except for the instances of

Table 8

Results of EHSA-MTRPP-RBX and EHSA-MTRPP on large instances from the benchmark. Each instance was solved 10 times, and the cut-off time was set to be twice the number of customers.

Size	K	EHSA-MTRPP-RBX			EHSA-MTRPP			p-value	$\delta$	W M F
		Best	Average	Tavg	Best	Average	Tavg			
Ins_Avci										
200	2	893420.60	893254.29	156.76	<b>893513.85</b>	<b>893374.88</b>	263.23	$2.35 \times 10^{-4}$	0.010%	19 0 1
200	3	907886.65	907784.43	154.88	<b>907950.35</b>	<b>907841.50</b>	258.94	$1.28 \times 10^{-3}$	0.007%	18 1 1
500	10	1436503.90	1435606.38	379.31	<b>1437256.40</b>	<b>1436265.76</b>	898.97	$5.06 \times 10^{-3}$	0.052%	10 0 0
500	20	694289.30	693986.20	406.29	<b>694406.60</b>	<b>694114.40</b>	897.70	$5.06 \times 10^{-3}$	0.017%	10 0 0
750	100	4000559.80	4000520.52	641.77	<b>4000585.60</b>	<b>4000541.60</b>	1352.60	$2.25 \times 10^{-1}$	0.001%	4 0 1
1000	50	5191587.40	5191416.32	751.44	<b>5191726.40</b>	<b>5191527.76</b>	1757.60	$4.31 \times 10^{-2}$	0.003%	5 0 0
Ins_Lu										
200	2	472466.60	472286.13	300.66	<b>472499.25</b>	<b>472354.94</b>	254.16	$3.76 \times 10^{-3}$	0.007%	17 1 2
200	3	321230.30	321127.52	297.78	<b>321278.75</b>	<b>321175.57</b>	245.66	$3.40 \times 10^{-4}$	0.015%	18 1 1
200	4	236781.60	236703.33	302.65	<b>236805.20</b>	<b>236720.93</b>	229.22	$7.37 \times 10^{-4}$	0.010%	16 2 2

“Size=750,  $K=100$ ”). This experiment confirmed that the ABX crossover is more appropriate than RBX for the MTRPP.

#### 4.5 Rationale behind the arc-based crossover

We experimentally investigated the rationale behind ABX by analyzing the structural similarities between high-quality solutions. For two given solutions  $\varphi_1$  and  $\varphi_2$  with their corresponding arc sets  $A_1$  and  $A_2$ , their similarity is defined by  $Sim(\varphi_1, \varphi_2) = \frac{|A_1 \cap A_2|}{|A_1 \cup A_2|}$ . Generally, the larger the similarity between two solutions is, the more arcs they share.

We ran the EHSA-MTRPP algorithm 100 times on each of the selected 16 instances (in different sizes) while recording the best found solution in each run, and the cut-off time per run was always set to twice the number of customers. For each instance, we calculated the maximum similarity (denoted  $sim\_max$ ) between any two solutions by  $sim\_max = \max_{1 \leq i < j \leq 100} Sim(\varphi_i, \varphi_j)$ , the minimum similarity (denoted  $sim\_min$ ) between any two solutions by  $sim\_min = \min_{1 \leq i < j \leq 100} Sim(\varphi_i, \varphi_j)$ , and the average similarity (denoted  $sim\_avg$ ) between any two solutions by  $sim\_avg = \frac{1}{4950} \cdot \sum_{1 \leq i < j \leq 100} Sim(\varphi_i, \varphi_j)$ . Figure 5 displays the results of the solution similarities for various instances.

From Figure 5, one concludes that a high similarity exists between high-quality solutions. In particular, the maximum similarity of the 100 high-quality solutions was more than 0.6, and the average similarity was over 0.4 for each instance. Thus, numerous arcs frequently appeared in high-quality solutions, which provides a solid foundation for the design of the arc-based crossover in

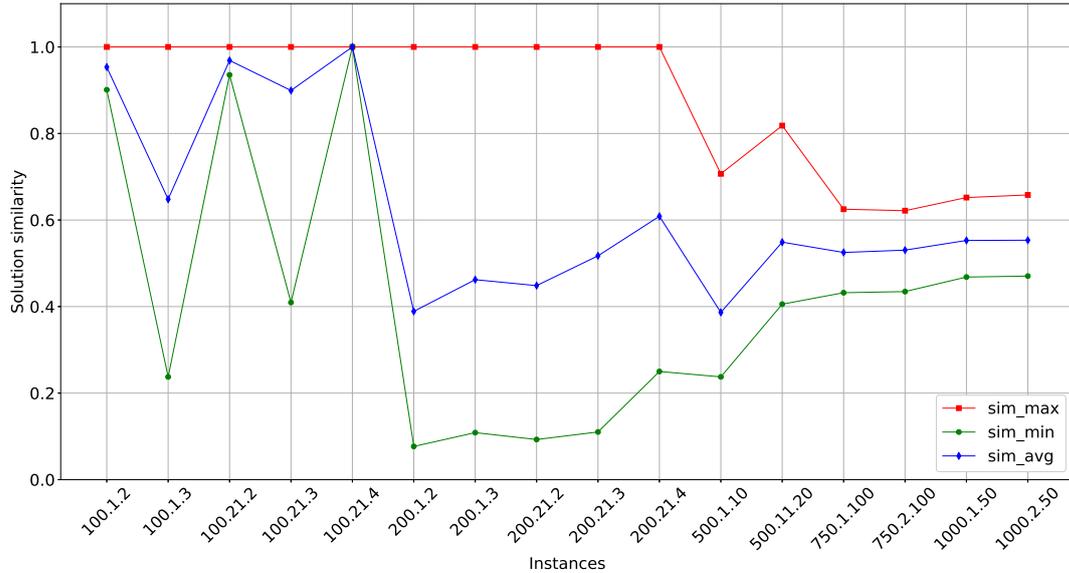


Fig. 5. Similarity between high-quality solutions for 16 instances of different sizes. Each instance was solved 100 times independently with a cut-off time per run set to twice the number of customers.

this work. The maximum similarities for the last six largest instances ( $n \geq 500$ ) were not as high as the other instances ( $n \leq 200$ ). This phenomenon could be attributed to the unsatisfactory results for these difficult instances ( $n \geq 500$ ).

## 5 Conclusions

An effective hybrid search algorithm for the MTRP with profit was proposed under the framework of the memetic algorithm. The proposed algorithm is unique from the existing algorithms in terms of three key features, namely its fast neighborhood evaluation techniques designed to quickly and approximately examine the neighborhoods, a correcting procedure to ensure an accurate evaluation of the neighboring solutions by using the *Drop* operator and the dedicated arc-based crossover that generates diversified and meaningful offspring solutions.

The assessment on the 470 benchmark instances in the literature revealed that the performance of the proposed algorithm competed favorably with the existing algorithms by updating the best records (new lower bounds) for 137 instances (29%) and matching the best-known results for 330 instances (70%) within a reasonable time. Additional experiments revealed that the fast evaluation technique, the *Drop* operator and the arc-based crossover play positive roles in terms of influencing the performance of the algorithm. We analyzed both formally and experimentally the reduced complexities of neighborhood examinations and explored the influence of the correcting step (the frequency

of calling *Drop* operator) on the algorithm performance. Besides that, we also provided experimental evidences (high similarity between high-quality solutions) to support the design of the arc-based crossover. The source code of our algorithm will be made available upon the publication of this paper. It can be used to solve practical applications and adapted to related problems. In the future, we will develop efficient algorithms based on the arc-based crossover for some other related problems such as the TOP.

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## A Proof of the complexity of neighborhood exploration

**Proof of Proposition 1** (Section 2.3). [Pei et al. \(2020\)](#) has proved that for the related TRPP, evaluating one neighboring solution of *Insert*, *2-opt*, *Or-opt*, *Add* and *Drop* can be finished in  $O(1)$  by using some specific data structures. It is easy to find that these conclusions are equally applicable in the MTRPP because the operations involved in  $N_1$ - $N_4$  as well as  $N_{Add}$  and  $N_{Drop}$  are confined inside one route, which is the same situation as in the TRPP.

For the *Swap* operator, the proof is given as follows. Let  $\varphi$  be a solution composed of  $K$  routes  $\{X_1, X_2, \dots, X_K\}$ , where  $X_k = (x_0^k, \dots, x_{i-1}^k, x_i^k, x_{i+1}^k, \dots, x_{j-1}^k, x_j^k, x_{j+1}^k, \dots, x_{m_k}^k)$  is one route with  $m_k$  selected customers. Swapping  $x_i^k$  and  $x_j^k$  ( $0 < i < j \leq m_k$ ) leads to a neighboring solution  $\varphi'$  whose  $k$ -th route is  $X'_k = (x_0^k, \dots, x_{i-1}^k, x_j^k, x_{i+1}^k, \dots, x_{j-1}^k, x_i^k, x_{j+1}^k, \dots, x_{m_k}^k)$ . Using Equation (2), the move gain  $\Delta_f = f(\varphi') - f(\varphi)$  can be reached by

- 1) If  $x_i^k$  and  $x_j^k$  are not adjacent, then

$$\begin{aligned} \Delta_f = & (m_k - i + 1) \cdot (d_{x_{i-1}^k, x_i^k} - d_{x_{i-1}^k, x_j^k}) + (m_k - i) \cdot (d_{x_i^k, x_{i+1}^k} - d_{x_j^k, x_{i+1}^k}) \\ & + (m_k - j + 1) \cdot (d_{x_{j-1}^k, x_j^k} - d_{x_{j-1}^k, x_i^k}) + (m_k - j) \cdot (d_{x_j^k, x_{j+1}^k} - d_{x_i^k, x_{j+1}^k}) \end{aligned}$$

- 2) If  $x_i^k$  and  $x_j^k$  are adjacent, then

$$\Delta_f = (m_k - i + 1) \cdot (d_{x_{i-1}^k, x_i^k} - d_{x_{i-1}^k, x_j^k}) + (m_k - j) \cdot (d_{x_j^k, x_{j+1}^k} - d_{x_i^k, x_{j+1}^k})$$

Thus, any neighboring solution in  $N_1$  can be evaluated in  $O(1)$  and the complexity of examining the  $N_1$  neighborhood is bounded by  $O(m^2)$ .

**Proof of Proposition 2** (Section 2.3). Let  $\varphi$  be a solution composed of  $K$  routes  $\{X_1, X_2, \dots, X_K\}$ , where  $X_k = (x_0^k, x_1^k, \dots, x_{m_k}^k)$  is one route with  $m_k$  selected customers ( $k = 1, 2, \dots, K$ ). As the set of selected customers does not change, we only consider the change of the accumulated distance according to Equation (2).

a) For the *Inter-Swap* neighborhood, we suppose two routes in the solution  $\varphi$

$$X_a = (x_0^a, x_1^a, \dots, x_{i-1}^a, x_i^a, x_{i+1}^a, \dots, x_{m_a}^a)$$

$$X_b = (x_0^b, x_1^b, \dots, x_{j-1}^b, x_j^b, x_{j+1}^b, \dots, x_{m_b}^b)$$

Exchanging  $x_i^a$  ( $0 < i \leq m_a$ ) and  $x_j^b$  ( $0 < j \leq m_b$ ) leads to a new solution  $\varphi'$  whose  $a$ -th and  $b$ -th routes are:

$$X'_a = (x_0^a, x_1^a, \dots, x_{i-1}^a, x_j^b, x_{i+1}^a, \dots, x_{m_a}^a)$$

$$X'_b = (x_0^b, x_1^b, \dots, x_{j-1}^b, x_i^a, x_{j+1}^b, \dots, x_{m_b}^b)$$

By Equation (2), the move gain  $\Delta_f = f(\varphi') - f(\varphi)$  can be achieved by

$$\begin{aligned} \Delta_f = & (m_a - i + 1) \cdot (d_{x_{i-1}^a, x_i^a} - d_{x_{i-1}^a, x_j^b}) + (m_a - i) \cdot (d_{x_i^a, x_{i+1}^a} - d_{x_j^b, x_{i+1}^a}) \\ & + (m_b - j + 1) \cdot (d_{x_{j-1}^b, x_j^b} - d_{x_{j-1}^b, x_i^a}) + (m_b - j) \cdot (d_{x_j^b, x_{j+1}^b} - d_{x_i^a, x_{j+1}^b}) \end{aligned}$$

Therefore, each neighboring solution in  $N_4$  can be evaluated in  $O(1)$ , leading to the complexity of  $O(m^2)$  for exploring the *Inter-Swap* neighborhood.

b) For the *Inter-Insert* neighborhood, we are given two routes for the solution  $\varphi$ .

$$X_a = (x_0^a, x_1^a, \dots, x_{i-1}^a, x_i^a, x_{i+1}^a, \dots, x_{m_a}^a)$$

$$X_b = (x_0^b, x_1^b, \dots, x_j^b, x_{j+1}^b, \dots, x_{m_b}^b)$$

Inserting  $x_i^a$  ( $0 < i \leq m_a$ ) into the position between  $x_j^b$  and  $x_{j+1}^b$  ( $0 \leq b \leq m_b$ ) produces a neighboring solution  $\varphi'$ , whose two corresponding routes are:

$$X'_a = (x_0^a, x_1^a, \dots, x_{i-1}^a, x_{i+1}^a, \dots, x_{m_a}^a)$$

$$X'_b = (x_0^b, x_1^b, \dots, x_j^b, x_i^a, x_{j+1}^b, \dots, x_{m_b}^b)$$

The move gain  $\Delta_f$  can be obtained by

$$\begin{aligned} \Delta_f = & Vsd_a(i - 1) + (m_a + 1 - i) \cdot d_{x_{i-1}^a, x_i^a} + (m_a - i) \cdot (d_{x_i^a, x_{i+1}^a} - d_{x_{i-1}^a, x_{i+1}^a}) \\ & - Vsd_b(j) - (m_b + 1 - j) \cdot d_{x_j^b, x_i^a} - (m_b - j) \cdot (d_{x_i^a, x_{j+1}^b} - d_{x_j^b, x_{j+1}^b}) \end{aligned}$$

where  $Vsd_a(i)$  and  $Vsd_b(i)$  are two auxiliary arrays used to accelerate the evaluation procedure. For the  $k$ -th route in the solution, the auxiliary array

is defined as follows.

$$Vsd_k(i) = \sum_{t=1}^i d_{x_{t-1}^k, x_t^k}. \quad (\text{A.1})$$

The auxiliary arrays in Equation (A.1) are pre-calculated and updated for each iteration (the complexity of updating these auxiliary arrays is  $O(n)$ ). Therefore, each neighboring solution can be assessed in  $O(1)$  while the complete *Inter-Insert* neighborhood can be examined in  $O(m^2)$ .

c) For the *Inter-2-opt* neighborhood, we suppose two routes  $X_a$  and  $X_b$ .

$$X_a = (x_0^a, x_1^a, \dots, x_i^a, x_{i+1}^a, \dots, x_{m_a}^a)$$

$$X_b = (x_0^b, x_1^b, \dots, x_j^b, x_{j+1}^b, \dots, x_{m_b}^b)$$

Removing two edges  $(x_i^a, x_{i+1}^a)$  and  $(x_j^b, x_{j+1}^b)$  and replacing them with two other edges lead to a new solution  $\varphi'$ , which has two corresponding routes  $X'_a$  and  $X'_b$ .

$$X'_a = (x_0^a, x_1^a, \dots, x_i^a, x_{j+1}^b, \dots, x_{m_b}^b)$$

$$X'_b = (x_0^b, x_1^b, \dots, x_j^b, x_{i+1}^a, \dots, x_{m_a}^a)$$

The move gain  $\Delta_f$  can be obtained as follows.

$$\begin{aligned} \Delta_f = & (m_a - i) \cdot d_{x_i^a, x_{i+1}^a} - (m_b - j) \cdot d_{x_j^b, x_{j+1}^b} + (m_a - m_b - i + j) \cdot Vsd_a(i) \\ & + (m_b - j) \cdot d_{x_j^b, x_{j+1}^b} - (m_a - i) \cdot d_{x_i^a, x_{i+1}^a} + (-m_a + m_b + i - j) \cdot Vsd_b(j) \end{aligned}$$

The complexity of evaluating one neighboring solution is thus  $O(1)$  and exploring the complete *Inter-2-opt* neighborhood requires  $O(m^2)$ .

**Additional results** (Section 2.7). This section gives the descriptions of the *Double-bridge* neighborhood and *Inter-Or-opt* neighborhood, which were employed in the reference algorithm (Lu et al., 2019a) but not applied in the proposed algorithm. As these two neighborhoods are widely applied in the algorithms for the related problems, here we provide the detailed proof of their complexities of exploring the complete neighborhoods using the fast evaluation techniques.

- *Double-bridge* (Lin & Kernighan, 1973): Four edges in the same route are deleted and four new sub-tours are reconnected without changing the orientation of the four sub-tours. A simple illustration is presented in Figure A.1.
- *Inter-Or-opt*: A block of  $h$  ( $h = 2, 3$ ) consecutive customers is removed from one route and inserted into two adjacent nodes in another route.

Similar to other neighborhoods, the complexities of exploring their complete neighborhoods are summarized as follows.

- Exploring the complete *Double-bridge* neighborhood can be finished in  $O(m^4)$ .
- Exploring the complete *Inter-Or-opt* neighborhood can be finished in  $O(m^2)$ .

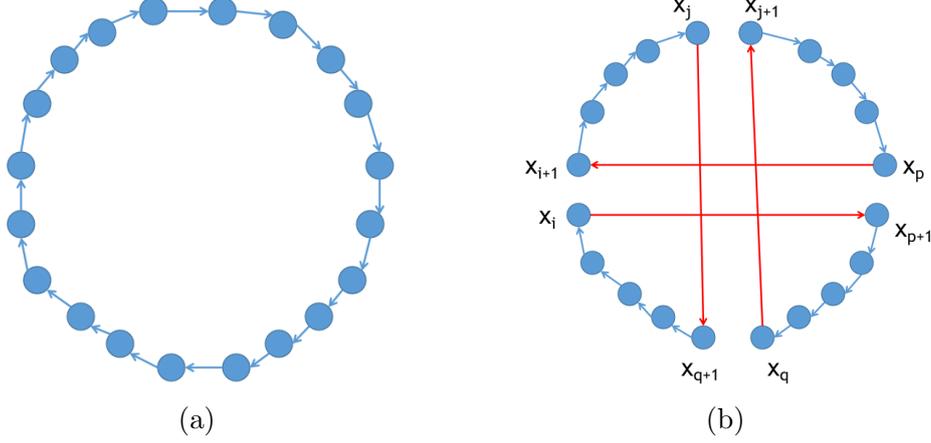


Fig. A.1. Simple illustration of the *Double-bridge* operation: a) one route before the operation; b) the route after the operation and the lines in red are the new reconnecting edges.

1) For the *Double-bridge* neighborhood, we suppose a solution  $\varphi$  with  $K$  routes  $\{X_1, X_2, \dots, X_K\}$ , where  $X_k = (x_0^k, \dots, x_i^k, x_{i+1}^k, \dots, x_j^k, x_{j+1}^k, \dots, x_p^k, x_{p+1}^k, \dots, x_q^k, x_{q+1}^k, \dots, x_{m_k}^k)$  is one route with  $m_k$  selected customers.

For giving a general case, we random select four positions  $i, j, p, q$  ( $0 \leq i, i+1 < j, j+1 < p, p+1 < q$  and  $q+1 \leq m_k$ ) to perform a double-bridge operation. This results in a neighboring solution  $\varphi'$  whose  $k$ -th route is  $X_k = (x_0^k, \dots, x_i^k, x_{p+1}^k, \dots, x_q^k, x_{j+1}^k, \dots, x_p^k, x_{i+1}^k, \dots, x_j^k, x_{q+1}^k, \dots, x_{m_k}^k)$  (See Figure A.1). Using Equation (2) and Equation (A.1), the move gain  $\Delta_f = f(\varphi') - f(\varphi)$  could be obtained as follows.

$$\begin{aligned}
\Delta_f &= (q - j) \cdot (Vsd_k(j) - Vsd_k(i + 1)) + (i - p) \cdot (Vsd_k(q) - Vsd_k(p + 1)) \\
&\quad + (i + q - j - p) \cdot (Vsd_k(p) - Vsd_k(j + 1)) \\
&\quad + (m_k - i) \cdot d_{x_i^k, x_{i+1}^k} + (m_k - j) \cdot d_{x_j^k, x_{j+1}^k} \\
&\quad + (m_k - p) \cdot d_{x_p^k, x_{p+1}^k} + (m_k - q) \cdot d_{x_q^k, x_{q+1}^k} \\
&\quad - (m_k - i) \cdot d_{x_i^k, x_{p+1}^k} - (m_k - i - q + p) \cdot d_{x_q^k, x_{j+1}^k} \\
&\quad - (m_k - i - q + j) \cdot d_{x_p^k, x_{i+1}^k} - (m_k - q) \cdot d_{x_j^k, x_{q+1}^k}
\end{aligned}$$

Thus, any neighboring solution in the *Double-bridge* neighborhood can be evaluated in  $O(1)$  and the complexity of examining the complete neighborhood is bounded by  $O(m^4)$ .

2) For the *Inter-Or-opt* neighborhood, only the change of the accumulated distance is taken into consideration to obtain the move gain of the new neighboring solution. We suppose two routes in the solution  $\varphi$ .

$$\begin{aligned}
X_a &= (x_0^a, x_1^a, \dots, x_{i-1}^a, x_i^a, x_{i+1}^a, \dots, x_{i+h-1}^a, x_{i+h}^a, \dots, x_{m_a}^a) \\
X_b &= (x_0^b, x_1^b, \dots, x_j^b, x_{j+1}^b, \dots, x_{m_b}^b)
\end{aligned}$$

Inserting the block  $(x_i^a, x_{i+1}^a, \dots, x_{i+h-1}^a)$  ( $0 < i, 0 < i + h \leq m_a, h = 2, 3$ ) to the position between  $x_j^b$  and  $x_{j+1}^b$  ( $0 < j \leq m_b$ ) leads to a new solution  $\varphi'$  whose  $a$ -th and  $b$ -th routes are:

$$X'_a = (x_0^a, x_1^a, \dots, x_{i-1}^a, x_{i+h}^a, \dots, x_{m_a}^a)$$

$$X'_b = (x_0^b, x_1^b, \dots, x_j^b, x_i^a, x_{i+1}^a, \dots, x_{i+h-1}^a, x_{j+1}^b, \dots, x_{m_b}^b)$$

By Equation (2) and Equation (A.1), the move gain  $\Delta_f = f(\varphi') - f(\varphi)$  can be achieved by

$$\begin{aligned} \Delta_f = & h \cdot Vsd_a(i-1) - (m_a - h - i + 1) \cdot d_{x_{i-1}^a, x_{i+h}^a} \\ & + (m_a - i - h + 1) \cdot d_{x_{i+h-1}^a, x_{i+h}^a} + (m_a - i + 1) \cdot d_{x_{i-1}^a, x_i^a} \\ & + (m_b - j) \cdot d_{x_j^b, x_{j+1}^b} - h \cdot Vsd_b(j) \\ & - (m_b + h - j) \cdot d_{x_j^b, x_i^a} - (m_b - j) \cdot d_{x_{i+h-1}^a, x_{j+1}^b} \\ & + (m_a - m_b - h - i + j + 1) \cdot (Vsd_a(i+h-1) - Vsd_a(i)) \end{aligned}$$

Therefore, each neighboring solution in the *Inter-Or-opt* neighborhood can be evaluated in  $O(1)$ , leading to the complexity of  $O(m^2)$  for exploring the complete neighborhood.